

CONFERENCE ON CSR AND RISK MANAGEMENT

- Date: Thursday, September 5, 2013 9:30 a.m. - 6:30 p.m.
Exclusive Session: 9:30 a.m. - 2:30 p.m. (The reception will open at 8:30 a.m.)
Open Session: 3:00 p.m. - 6:30 p.m. (The reception will open at 2:00 p.m.)
- Venue: Conference Room G409, Tokyo International Forum
5-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo
- Organizer: Caux Round Table Japan
United Nations, the Working Group on the issue of human rights and
transnational corporations and other business enterprises
- Language: Japanese and English (simultaneous interpretation)

With unprecedented challenges in sustainability, impacts of CSR (Corporate Social Responsibility) risks on business continuity are becoming more apparent. In order to ensure future business success, companies need to identify and manage CSR risks, integrating them into the Business Contingency Plan (BCP).

This conference will explore CSR risks management, using the UN Guiding Principles on Business and Human Rights (GPs), which is a globally recognized guidance incorporated into several CSR guidelines and initiatives, as a guidance tool. One of five members of the United Nations Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises will be invited to explain the essence of GPs. Concrete advice on its application to corporate risk management, including best practices in tackling implementation challenges, will be provided. In addition, current discussion and efforts related to business and human rights will also be introduced from the perspective of risk management.

The conference will offer a unique opportunity to develop a better understanding of CSR risks and to know the latest trend in the field.

■ Program

Exclusive Session (9:30 a.m. – 2:30 p.m.)

The Global Corporate Community of Practice (GCOP) was formed in July 2012 to support the mandate of the UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises (Working Group) by providing a platform for multinational business enterprises such as Nestle and The Coca-Cola Company, Procter & Gamble, to collect, develop, and share best practices in human rights due diligence and risk management. The GCOP met for initial planning session in September 2012 hosted by Puvan Selvanathan, and has held several workshops around the world. As part of this, this GCOP workshop will be held in Japan for the first time. In this exclusive session, Roger Branigin as a facilitator will explain how the Human Rights Due Diligence and Risk Management relate, and what is the challenges in performing human rights due diligence. After that, participants will have an opportunity to deepen understanding how to integrate human rights into corporate risk management, using three hypothetical case studies with the theme of KPIs, Impacts and SMEs. The outcome of this session will be presented to the 2013 Forum on Business and Human Rights in Geneva at the end of this year.

Open Session (3:00 p.m. – 6:30 p.m.)

The open session will be divided into two parts.

The first part will involve introduction of GPs and human rights impacts from the perspective of risk management. Puvan Selvanathan, who is a member of the United Nations Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises will explain the GPs with the points to be considered. Following his presentation, some case studies related to human rights risk management will be provided by human rights experts.

The second part will include introduction of human rights risks management efforts in Japan and a panel discussion. Miho Okada, Director of CRT Japan will present the outcome of the Human Rights Due Diligence Workshop at the Nippon CSR Consortium. The presentation will be followed by a panel discussion, in which experts from Japan and overseas will discuss human rights risks for Japanese companies expanding business in Asian countries.

Caux Round Table and its three principles (Kyosei, Human Dignity/Human Rights and Stakeholder)

Caux Round Table (CRT) was founded at Caux, Switzerland, in 1986 by business leaders from multinational companies. Their aspiration to establish universal value in business evolved into the CRT Principles for Business, which was the first codes of conduct in the private sector. Three concepts, which are Kyosei, Human Dignity (Human Rights) and stakeholder, are incorporated into the principles. It still has significant meaning in today's society.

Exclusive Session	9:00-9:30	Conference Reception for Exclusive Session
	9:30-9:45	Welcome Remarks Puvan Selvanathan UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises
	9:45-14:30	Workshop: <i>Integrating Human Rights into Corporate Risk Management</i> Roger D. Branigin Executive Director, Global Corporate Community of Practice Principal, Acuity Human Rights Advisors
	9:45-10:00	Introduction <ul style="list-style-type: none"> • Why is risk management an effective and appropriate means to perform human rights due diligence?
	10:00-10:45	Human rights due diligence and risk management <ul style="list-style-type: none"> • Origins of due diligence • Four due diligence steps in the Ruggie Framework/GPs • Risk management
	10:45-11:00	Coffee break
	11:00-11:45	Hypothetical case study #1: Defining, evaluating and analyzing key performance indicators (KPIs) <ul style="list-style-type: none"> • Risk definition, Benefit and pitfalls of KPI selection • Methods to test and verify KPIs • Risk registers and matrices • Company v. rights-holder perspectives
	11:45-12:30	Hypothetical case study #2: Measuring external stakeholder impacts <ul style="list-style-type: none"> • Standard of materiality of human rights impacts from the perspective of rights-holders • Gauging engagement • Practical challenges, Reporting issues
	12:30-13:15	Lunch
	13:15-14:00	Hypothetical case study #3: SMEs <ul style="list-style-type: none"> • Special issue relating to SMEs • Recommendations for companies beginning to implement the GPs • Resource issues
	14:00-14:30	Summary and conclusion, Question and answer session

Open Session	14:30-15:00	Conference Reception for Open Session
	15:00-15:10	Welcome Remarks Hiroshi Ishida Executive Director, Caux Round Table Japan
	15:10-15:40	The UN Guiding Principles on Business and Human Rights: What are they and why should business care? Puvan Selvanathan UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises
	15:40-16:10	Implementation of Human Rights in Corporate Strategy and Risk Management Systems: focusing on vulnerable groups and in particular children. Engaging with impacted stakeholders Sandra Adler Human Rights Lawyer Business and Human Rights Expert and Advisor Former Chair, ISO 26000 NGO Stakeholder Group
	16:10-16:40	Considering Collective Impacts: Towards a framework to guide companies Ashleigh Owens Masters Candidate, United Nations University
	16:40-17:00	Report on “Key Human Rights Issues by sector” Miho Okada Director, Caux Round Table Japan
	17:00-17:20	Coffee break
	17:20-18:20	Panel Discussion: Human Rights issues for Japanese companies who are expanding into the Asian countries ※ After raising some human rights risks for Japanese companies from the Working Group, panel discussion follows on how we can manage these risks, applying UN GPs and Human rights due diligence. Panelists: <ul style="list-style-type: none"> • Puvan Selvanathan UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises • Sandra Adler Human Rights Lawyer Business and Human Rights Expert and Advisor Former chair of ISO 26000 NGO stakeholder group • Hideki Wakabayashi Executive Director, Amnesty International Japan Moderator: Hiroshi Ishida Executive Director, Caux Round Table Japan
	18:20-18:30	Closing Remarks Vesselin Popovski Senior Academic Programme Officer, Institute for Sustainability and Peace at the United Nations University in Tokyo

Note: Titles are as of 31 July 2013. This program is subject to change.

■ Session Speakers/Panelists



Puvan Selvanathan

UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises

Puvan Selvanathan is a member of the UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises (Geneva) and is Head of Sustainable Agriculture at the UN Global Compact (New York). Puvan founded the UN Global Compact Local Network in Malaysia, and also led the Malaysian chapter of WBCSD and has advised public and private sector on ethics, governance and the green economy. Puvan was formerly Chief Sustainability Officer at Sime Darby, a Malaysian conglomerate and the world's largest producer of sustainable palm oil.



Roger D. Branigin

Executive Director, Global Corporate Community of Practice
Principal, Acuity Human Rights Advisors

Roger D. Branigin is the Executive Director of the Global Corporate Community of Practice (GCOP). The GCOP is a private-sector initiative of leading multi-national corporations devoted to developing best practices in human rights due diligence and risk management. The GCOP is a recognized supporting project of the United Nations Human Rights Council and UN Working Group on the issue of Human Rights and Transnational Corporations and Other Business Enterprises, and received support from the United Nations Global Compact.



Sandra Adler

Human Rights Lawyer

Business and Human Rights Expert and Advisor

Former Chair of ISO 26000 NGO Stakeholder Group

Sandra Adler researched and advised for Professor John Ruggie, the former Special Representative of the Secretary-General on Business and Human Rights. She was centrally involved in the development and drafting of the ISO 26000 Guidance Standard on Social Responsibility, and chaired the human rights working committee and the NGO stakeholder group. She served as a human rights specialist and advisor for AngloGold Ashanti (the world's third largest gold producer) where her responsibilities included the development of a human rights framework, integration of human rights due diligence into risk management, and internal controls systems. Sandra now serves to Shift, a non-profit center for business and human rights practice, chaired by Prof Ruggie.



Ashleigh Owens

Masters Candidate, United Nations University

Ashleigh Owens is currently completing her Master's degree at the United Nations University in Tokyo. She recently spent two months interning at the UN Global Compact in New York. Her specialty is business and human rights, and she has been engaged to conduct research to support the mandate of the Working Group on the Issue of Human Rights and Transnational Corporations and other Business Enterprises. She has been asked by the Working Group to conduct research concerning the "Corporate Responsibility

to Respect", and specifically, to provide recommendations concerning best practices in managing collective corporate impacts. Collective impacts occur where the activities of more than one corporation together add up to a human rights impact. Ashleigh is a lawyer qualified in Australia and England & Wales.



Hideki Wakabayashi

Executive Director, Amnesty International Japan

Hideki Wakabayashi is Executive Director of Amnesty International Japan since March, 2011 and Board Member of the U. N. Global Compact Japan Network. Worked on issues of international affairs as visiting fellow at the Center for Strategic International Studies (CSIS) in 2008 after serving a MP (House of Councilors, Democratic Party of Japan) from 2001 to 2007. Other working experiences include a diplomat of Japanese Embassy in Washington, DC, USA, dealing with the Official Development Assistance, and labor union activist of Yamaha Corporation and Japanese Electric and Electronics Union. B.A in business at Waseda University and M.S. in forestry at Michigan State University



Hiroshi Ishida

Executive Director, Caux Round Table Japan

Professor, the Institute of Business and Accounting, Kwansei Gakuin University

Hiroshi Ishida is the Executive Director of the Caux Round Table Japan. Preceding the CRT Japan, he worked at the Industrial Bank of Japan (IBJ) for 10 years. After resigning from IBJ in 2000, Ishida was appointed as the Coordinator of CRT Japan, and now he has been

working as the Executive Director of CRT Japan. In 2008, he was appointed as Global CRT Senior Advisor. He is involved in the field of CSR, Business Ethics and Personal Social Responsibility for young business leaders. He helps Japanese companies integrate CSR into their corporate strategy and management system, building networks with CSR initiatives in and outside Japan.



Miho Okada

Director, Caux Round Table Japan

Preceding the CRT Japan, Miho Okada worked at the Nissan Motor Companies. After resigning from Nissan in 2009, Miho Okada serves as director to Caux Round Table Japan. In 2012, she started to organize a series of Human Rights Due Diligence Workshop at the Nippon/Japan CSR Consortium, in which representatives from companies, NGO/NPOs and experts worked together to identify sector-specific human rights impacts. The outcome of 2012 workshop is reported on CSR reports of several companies. This Human Rights Due Diligence Workshop is widely recognized and appreciated as one of practical way of implementing Guiding Principles into Business framework.

■ Registration

All attendees should register online:

<https://business.form-mailer.jp/fms/4de88afd24184> .

Registration will be closed on August 23rd 2013.

Registration fee:

Exclusive session	128,000 yen/ person Including: lunch, photo session, open session
Open session	Company : 10,000 yen/ person NGO/NPOs: 5,000 yen/ person Including: coffee

Payment of registration fee

Registration fee payments should be made by bank transfer. Use the invoice sent by mail. *payment in cash at on-site will not be acceptable.

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